

**15. AWARD OF PH.D. DEGREES PRIOR TO NOTIFICATION OF THESE REGULATIONS:**

Award of degrees to candidates registered for the Ph.D. programme on or after July 11, 2009, till the date of Notification of these Regulations shall be governed by the provisions of the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2009 or the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2016 as the case may be. Further, the award of degrees to candidates already registered and pursuing Ph.D. shall be governed by these Regulations or UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2016. Nothing in these Regulations shall impact the M.Phil. degree programmes commencing prior to the enactment of these Regulations

**16. THE FEE STRUCTURE FOR PH.D. PROGRAMS:**

All the Candidates admitted to Ph.D. programs shall pay the prescribed fee annually and renew their admission to continue the program. The Candidates who do not pay the fee and submit the progress reports for the two consecutive academic years shall forego their admission.

**17. CANCELLATION OF Ph.D. ADMISSION/REGISTRATION:**

The Dean may cancel the registration of a Ph.D. Scholar on the recommendation of the Supervisor, Chairperson, Board of Studies and the Head of the Department, if the candidate fails to satisfy the conditions stipulated in the following circumstances:

1. If the progress of the research work has been found to be unsatisfactory in two consecutive half yearly reports or when two consecutive progress reports are not submitted.
2. Where a candidate discontinues his/her research or when he/ she (full time scholar) accepts any employment without the written consent of the Dean.
3. Where a Research Scholar indulges in misconduct and misbehavior with any fellow research scholar or staff of the University or elsewhere.
4. If a Scholar is found guilty of committing any irregularity malpractice, plagiarism, etc., in research, his/her result will be withheld/cancelled by the Controller of Examinations, even after the publication of the result.
5. If the stipulated period of Ph.D. program is completed as per the norms.
6. Any violation of this regulation will automatically lead to the cancellation of his/her admission in Ph.D. program.

**18. RECOGNITION OF RESEARCH SUPERVISORS AND SCHOLARS' ALLOTMENT**

1. Permanent faculty members working as Professor/Associate Professor of the University and Govt. Degree & PG Colleges under the jurisdiction of Kakatiya University with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and permanent faculty members working as Assistant Professors in Higher Educational Institutions with a Ph.D., and at least three research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor in the university and Govt. Degree & PG Colleges where the faculty member is employed. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors. Ph.D. awarded by a university under the supervision of a faculty member who is not an employee of the university or its affiliated Post-graduate Colleges/institutes would be in violation of these Regulations.
2. For Ph.D. scholars working in Central government /State government research institutions whose degrees are given by Kakatiya University, the scientists in such research institutions who



are equivalent to Professor/Associate Professor/Assistant Professor can be recognized as supervisors if they fulfill the above requirements.

3. Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, the Higher Educational Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
  4. Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority.
  5. Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.
  6. In case of inter disciplinary/multi disciplinary research work, if required, a Co-Supervisor from outside the Department/ School/ Centre/ College/ University may be appointed.
  7. An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time.
  8. In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.
  9. Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.
  10. At any point, the total number of Ph.D. scholars under a faculty member, either as supervisor or a co-supervisor, shall not exceed the number prescribed under these rules.
19. **ACADEMIC, RESEARCH, ADMINISTRATIVE, AND INFRASTRUCTURE REQUIREMENTS TO BE FULFILLED BY COLLEGES FOR GETTING RECOGNITION FOR OFFERING PH.D. PROGRAMS: -**
1. Post-graduate Colleges offering 4-year Under-graduate Programs and/or Post-graduate Programs, may offer Ph.D. programs, provided they satisfy the availability of eligible Research Supervisors, required infrastructure, and supporting administrative and research facilities as per these Regulations.
  2. Colleges and research institutions established by the central government or a State government whose degrees are awarded by Kakatiya University shall offer Ph.D. programs provided they have:
    - a) At least two faculty members in a college or two Ph.D.-qualified scientists in the research institution.
    - b) Adequate infrastructure, administrative support, research facilities and library resources as specified by the University.
  3. In case of science and technology disciplines, exclusive research laboratories with sophisticated state of the art equipment as specified by the Institution concerned as demanded by the respective research areas and with provision for adequate space per research scholar along with computing facilities with dedicated Internet Bandwidth of 100 Mbps (yearly subscribed) should be available and licensed software, and uninterrupted power and water supply. The approved laboratories must be updated with latest technology and adequate budget must be provided.



4. Earmarked digital library resources including latest books, Indian and International journals, e-journals, extended working hours for all disciplines, adequate space for research scholars in the Department/ library for reading, writing and storing study and research materials.
5. The Colleges should submit the reprints of, at least, six Research Publications out of which at least two after Ph.D. obtained must be published in a reputed journal by the faculty of the college in each discipline. The Research Publications so submitted shall be evaluated by a Committee consisting of the Dean, the Head and the Chairman, Board of Studies in a discipline concerned.
6. The Colleges seeking recognition as a Research Centre of the Kakatiya University shall apply in the prescribed Application Form by paying required fee (Non-refundable) Rs. 1,00,000/- for each Government Degree & PG College into the Registrar Income Account, KU. They have to submit the college profile and teacher's profiles in the prescribed format along with the Fee Receipt to the Assistant Registrar, Academic Branch, KU.
7. The Colleges have to pay the entire fee pertaining to Research Programs, i.e. the application fee and annual recognition fee or any other to the "Registrar Income Account, KU A/C. No.52026911837: IFSC Code No. SBIN0020262 through SBI E-pay/NEFT/RTGS/Google Pay / Phone Pay or online NET BANKING only.
8. The prescribed Annual Recognition fee is Rs. 25,000/- for Government Degree & PG Colleges, and Rs.50,000/- for the private affiliated colleges, for each discipline.
9. The committee constituted by the University with the following Members will visit the College and submit the report to the University for the approval of the Standing Committee of Academic Senate and Executive Council of Kakatiya University:
 

1.	The Dean of the Faculty concerned, KU	...	Chairman
2.	The Dean, Academic Audit, KU	...	Member
3.	The Head of the Department concerned, KU	...	Member
4.	The Chairperson, BOS-in-discipline Concerned	...	Member
5.	A Senior Faculty member of the department. (Nominated by the Vice-Chancellor, KU)	...	Member
6.	An External Subject Expert outside of the University (Nominated by the Vice-Chancellor, KU)	...	Member

The Assistant Registrar, Academic Branch, KU shall accompany to coordinate in conducting inspection by the Committee
10. When the college is applying for more than one research areas, the Committee consisting of faculty members from each discipline as mentioned in 19.9 will visit the college for evaluating the feasibility of research facilities at research center.
11. At the time of application; the Colleges should provide the proof of its commitment for research activity by way of organizing at least two National Conferences /Seminars /Workshop (of not less than 2 days) etc., in the last five years or its support and active participation in such programs. Once the recognition is accorded by the University, the institution should continue to participate regularly in such activities.
12. At the time of application, the Principal of the College should submit an undertaking to the effect that the college will continue all the research facilities originally indicated and if any short fall is found at any point of time and evaluated by the Inspection Committee at later time, the University reserves the right to revoke the recognition at any time.
13. In case the College fulfills the above conditions, the University may accord recognition as Research Centre for a period of Five years initially. After recognition, it is mandatory on part of the Centre to adhere to the following guidelines:

- a) The Recognized College should get renewal of its recognition by paying the recognition fee for each discipline annually.
  - b) The Recognized College should furnish the required information in the prescribed proforma to be obtained from the Office of the Dean, Academic Audit as sought by the University within the stipulated time annually.
  - c) The Recognized College should submit the detailed research facilities improved from time to time and the progress of the research work of the scholars on rolls, once in every six months to the Dean, Faculty of the discipline concerned.
  - d) In case the Recognized College does not fulfil any of these conditions, the University reserves the right to cancel the recognition granted, after serving a notice.
- 14) The University shall review the research activities of the Centre by appointing an Inspection Committee and if found satisfactory, extend the recognition for a period of another five (5) years
  - 15) As and when the College ceases to have a Research Supervisor in a discipline, no candidate shall be permitted to register in the subject for Ph.D. by the University.

## 20. **ADMISSION OF INTERNATIONAL STUDENTS INTO Ph.D. PROGRAMS**

1. The admission process of International Students will be carried out by Center for Foreign Research and Consultancy (CFRAC) of the University. The CFRAC will receive the applications from the International Students and forward to the Head, of the Department concerned, in turn the Head will place the applications before the DRC of the department concerned. On recommendations of the DRC the admission will be given to the International Students to Ph.D. Program by the Dean of the faculty concerned. They shall pay the fee for the Ph.D. Program as prescribed by the University from time to time.
  2. Each Supervisor can guide up to two International Scholars on a supernumerary basis over and above the permitted number of Ph.D. Scholars as specified under these rules.
21. **DEPOSITORY WITH INFLIBNET:** - Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the University shall submit an electronic copy of the Ph.D. thesis to INFLIBNET, for hosting the same so as to make it accessible to all the Higher Educational Institutions and research institutions.

## 22. **DATA AT KU WEBSITE:**

The University shall maintain a list of Ph.D. supervisors (specifying the name of the supervisor, his or her designation, and the department/ school /centre), along with the details of Ph.D. scholars (specifying the name of the registered Ph.D. scholar, the topic of his /her research and the date of admission) admitted in their Faculties by the respective Deans of Faculties and will update on the KU website every academic year. The staff of Office of the Dean shall maintain record of hard copies of the details of admissions.

*All other matters that have not been covered by the rules mentioned above, the Dean of the Faculty concerned may refer the matter to the Standing Committee of the Academic Senate whose decision shall be final.*

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